This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.



Dear Sir:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:) Group Art Unit: 2652
POLLARD, et al.	Examiner: not yet assigned
Serial No.: 10/604,124) Confirmation No.: 1123
Filed: June 26, 2003) REQUEST FOR CORRECTION) OF FILING RECEIPT
Atty. File No.: 47320.0134)
(Amended from 47320.0135)	
) CERTIFICATE OF MAILING
For: "MAGAZINE-BASED DATA) I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
CARTRIDGE LIBRARY"	BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS,
Office of Initial Patent Examination's Filing Receipt Corrections	WASHINGTON, DC 20231 ON \(\square\) and \(\lambda \) 20, \(\lambda \) O, \(\lambda \) HOLLAND & HART LLP
Commissioner for Patents	
Washington, DC 20231	BY: Daw

The official Filing Receipt for the above-identified patent application contains the following clerical error:

1. The Attorney Docket Number is shown as 47320.0135 when the Attorney Docket Number should be 47320.0134, as set forth in the Amendment of Attorney Docket Number filed on November 19, 2003.

For your reference, a copy of the Filing Receipt is enclosed with the corrections indicated in red.

Also enclosed is a copy of the Amendment of Attorney Docket Number. Please issue a corrected Filing Receipt for this patent application.

Respectfully submitted,

HOLLAND & HART LLP

Christopher J. Kulish

Registration No. 33,056

P.O. Box 8749

Denver, Colorado 80201-8749 (303) 473-2700, x2731

Date: <u>January</u> 20 2004
3181115 J.DOC



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Patent of:) Art Unit: 2652		
POLLARD et al.) Examiner: not yet assigned		
Serial No.: 10/604,124) Confirmation No.: 1123		
Filed: June 26, 2003) <u>AMENDMENT OF ATTORNEY</u>) <u>DOCKET NUMBER</u>		
Atty. File No.: 47320.0134 (Amended))		
For: "MAGAZINE-BASED DATA CARTRIDGE LIBRARY"	CERTIFICATE OF MAILING I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE		
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	ADDRESSED TO COMMISSIONER FOR PATENTS, ALEXANDRIA, VA 22313 ON 100 19, 200 19,		

Dear Sir:

Please amend the Attorney Docket Number of the above-identified patent application from 47320.0135 to 47320.0134 as indicated above.

Respectfully submitted,

HOLLAND & HART LLP

By:

Christopher J. Kulish Registration No. 33,056

P.O. Box 8749

Denver, Colorado 80201-8749

(303) 473-2700, x2731

Date: November 192003

3101584_1.DOC

Initials: CJK/ss PTO Stamp indicates receipt of: Application Docket No.: 47320.0134 (Amended) Inventor: Pollard et al.	Date: November 19, 2003 latter ☐ Trademark Matter
Title or Mark: MAGAZINE-BASED DATA CARTR. Serial/Reg. No.: 10/604,124 Filed/Issued Date: June 26, 2003 Certificate of Mailing Express Mail No.: Check for \$ LIST ALL DOCUMENTS BEING SENT TO USPTO: Amendment of Attorney Docket Number	DGE LIBRARY No. of Pages in Specification No. of Pages in Claim No. of Sheets of Drawings

Initials: CJK/ss Date: November 19, 2003 PTO Stamp indicates receipt of: □ Patent Matter ☐ Trademark Matter Application Docket No.: 47320.0134 (Amended) Inventor: Pollard et al. Title or Mark: MAGAZINE-BASED DATA CARTRIDGE LIBRARY Serial/Reg. No.: 10/604,124 Filed/Issued Date: June 26, 2003 □ Certificate of Mailing _ No. of Pages in Specification ☐ Express Mail No.: _ No. of Pages in Claim ☐ Check for \$ _ No. of Sheets of Drawings LIST ALL DOCUMENTS BEING SENT TO USPTO: 1) Amendment of Attorney Docket Number



tates Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PC. DOX 1450 Alexandria, Vingins 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/604,124	06/26/2003	2652	375	-47320.013 5	136	88	7

47320.0134

CONFIRMATION NO. 1123

25928 CHRISTOPHER J. KULISH, ESC **HOLLAND & HART LLP** P. O. BOX 8749 DENVER, CO 80201-8749

Mail Ledger	1-12-04
Docketed	MC
Due Date	

FILING RECEIPT OC0000000116550791

Date Mailed: 01/09/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt C rrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the r ply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Christopher A. Pollard, Monument, CO;

Christopher J. Kulish, Residence Not Provided, Legal Representative;

JAN 12 2004

RECEIVED

Lee A. Sutherland, Longmont, CO; Christopher J. Kulish, Residence Not Provided, Legal Representative

⊩olland & Hart LLP

Assignment For Published Patent Application

SPECTRA LOGIC CORPORATION, Boulder, CO;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 09/09/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Magazine-Based Data Cartridge Library

Preliminary Class

360

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).